

0959-8049(95)00302-9

Review

Haemopoietic Stem Cell Inhibition: Potential for Dose Intensification

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INTRODUCTION

THE CONCEPT of a dose–response curve in experimental tumour models has been fundamental to the development of chemotherapeutic protocols [1, 2]. Currently, there is considerable interest in dose intensification as a means of improving response rates and ultimately survival. Retrospective studies by Hryniuk in breast carcinoma [3, 4] showed disease outcome is significantly correlated with the relative received dose intensity, and this has been rapidly confirmed in other solid tumours [5–8]. Retrospective analyses, however, are open to criticism and the ability to test the hypothesis has been limited by cytotoxic-induced neutropenia and thrombocytopenia. The advent of haemopoietic growth factors [9, 10] has enabled a greater and more frequent dose delivery with corresponding improvement in response rates, but the modest increase in dose intensity (typically <2-fold) has resulted in minimal benefit in terms of overall survival [11]. In addition, the small gain has been compounded by enhanced toxicity on other tissues, most notably epithelium and megakaryocytes, with significant patient morbidity and mortality. Experimental animal models have also shown that the recovery of blood differential counts bears no relationship to the viability and kinetics of the progenitor population [12], and that repeated treatment with myelosuppressive agents and growth factors may result in incremental damage to the stem cell pool [13].

The ability to protect normal host tissues, at the expense of malignant cells, offers an alternative and more attractive approach for dose intensification, and has been achieved by a number of different experimental approaches. The aminothiols, most notably WR 2721 (Amifostine, Ethylol), are currently in phase III clinical trials and may offer selective protective effects against myelotoxicity, neurotoxicity and nephrotoxicity, possibly by free radical scavenging [14, 15]. Gene therapy techniques remain at the embryonic stage, but there is already exciting evidence to show myeloprotection following retroviral transfection of alkyltransferase, a DNA repair enzyme [16]. This review will focus on a new class of agents, the stem cell inhibitors [17–19], which offer a novel approach for protecting normal host tissues by manipulating cell cycle regulation. They may,

therefore, allow delivery of a greater therapeutic dose intensity in the short term, together with maintenance of a viable stem cell pool in the long term.

HAEMOPOIETIC STRUCTURE AND CONTROL MECHANISMS

Haemopoiesis represents an ideal model for studying the control mechanisms and interactions of a continuously regenerating system which can adapt rapidly to changing requirements. Within this system, a small population of self-renewing and pluripotent stem cells can generate an increasing number of lineage-restricted progenitors which themselves differentiate to morphological recognisable effector cells. The precise mechanisms underlying lineage commitment remain obscure, but the development of *in vitro* clonogenic assays has highlighted the importance of a large number of glycoproteins—haemopoietic growth factors—which play a central role in regulating proliferation and differentiation [9, 10]. Investigation and characterisation of the human stem cell population has proved extremely difficult because of the inability to assay these cells directly, and consequently much of the work is based on a murine stem cell assay that quantitates a heterogeneous population termed spleen colony-forming units (CFU-S) [20].

Despite the advances in growth factor development, the recognition that stem cells are normally in a resting, G_0 state [21, 22], and the fact that positive feedback alone is an untenable concept, led to the recognition of several unrelated agents which feedback to maintain stem cell quiescence and perhaps, ultimately the balance between self-renewal and proliferation. Several such inhibitors have now been more clearly defined and include macrophage inflammatory protein (MIP)-1 α [23], AcSDKP (acetyl-N-Ser-Asp-Lys-Pro) [24], pEEDCK (pyroGlu-Glu-Asp-Cys-Lys) [25] and transforming growth factor (TGF)- β [26], although their precise physiological roles and interactions await elucidation.

The ability to maintain stem cells in a quiescent state offers distinct clinical advantages with respect to protection from cycle-specific cytotoxic agents as exemplified by the antimetabolites, cytosine arabinoside and 5-fluorouracil. Under steady state conditions, the rapidly dividing progenitor population receives the brunt of cytotoxic-induced damage, and the reduced output of functional cells results in the well-recognised myelosuppression. Subsequently, the normally quiescent stem cell population

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Received 16 Mar. 1995; accepted 10 May 1995.

is recruited into DNA synthesis to replenish the depleted progenitor compartment [27–30].

The normalisation of neutrophils and platelets to predefined levels has historically been used as an index of bone marrow recovery. However, this is not supported by animal data which reveal a disparity between blood count recovery and the return to stem cell quiescence [12]. Repeated administration of chemotherapy at a time when a significant number of stem cells remain in DNA synthesis will reduce the stem cell pool, both from direct cytotoxicity and loss through differentiation [28, 31]. The consequences of repeated insult on the stem cell population, following multicyclic chemotherapy, are only too familiar to the oncologist. The resulting delay in neutrophil and platelet recovery inevitably necessitates a reduction in dose intensity in a significant proportion of patients, and ultimately may have serious adverse effects on response and survival [32, 33].

The addition of a stem cell-specific inhibitor around the time of chemotherapy has the potential to prevent stem cell recruitment by overriding the proliferative drive, and thus maintain sufficient numbers to allow an enhanced recovery of the haemopoietic tissue from a higher platform.

This model is almost certainly an oversimplification, but is justified by experimental evidence [25, 34, 35]. The theory is more difficult to apply to the non-cycle-acting chemotherapeutic agents (alkylating species, anthracyclines) or radiation. In this setting, cell death occurs largely independently of cycle status and would suggest that proliferation inhibition has little role to play. This is currently the subject of intense study but several observations are pertinent. Many cytotoxics, including anthracyclines and alkylating agents, induce a greater cell kill in cell lines which are synchronised in S phase [36, 37], and this is borne out by clinical observation. On a more esoteric note, the mode of toxicity induced by alkylating species may also be open to manipulation. Alkylating agents represent a major class of chemotherapeutic drugs with activity in a wide spectrum of malignancies. The formation of DNA adducts and the presence of unrepaired adducts at the time of DNA replication represents the principal cytotoxic event of these compounds [38, 39]. A proportion of cells, presumably including haemopoietic stem cells, also sustain sublethal mutational damage [38]. Propagation of this mutational damage can lead to tumour initiation [39], and it is likely, although less well defined, that similar mechanisms exist to account for delayed bone marrow failure. Specific DNA repair enzymes have been identified [40], but the time available for completion of this process is likely to be a critical factor.

One can hypothesise that the loss of feedback inhibition, resulting from cytotoxic treatment, will reduce the degree of DNA repair given the proliferation pressure on the stem cell and reduced cell cycle time. In this scenario, “inhibitors” may not protect in the same sense, but may allow recovery from more viable populations by providing more time for housekeeping genes to complete all necessary repair steps.

MACROPHAGE INFLAMMATORY PROTEIN (MIP)-1 α

MIP-1 α is an 8-kDa polypeptide that was isolated in doublet form with MIP-1 β as MIP-1 [41]. The gene for the human homologue, LD78, has been sequenced and cloned and is located on the long arm of chromosome 17 at q11-q21 [42]. On the basis of structural homology, MIP-1 α is classified as a member of the chemokine or scy (small cytokine) family—other close relatives including platelet factor 4 (PF4), interleukin-8 (IL-8) and rantes [43]. All members are basic heparin-binding polypeptides and are intimately involved in inflammation and chemotaxis [43]. A

limited number, including MIP-1 α , MIP-2 α , PF4, IL-8 and monocyte chemotactic and activating factor (MCAF), appear to share proliferation modulating effects on immature haemopoietic colony-forming cells (CFCs) [44, 45]. In physiological buffers, MIP-1 α readily forms large multimeric complexes due to non-covalent self-aggregation [46]. The biological role of this phenomenon is unclear, but it poses a number of problems with respect to drug development and interpretation of dose–response assays. Current phase I trials utilise a non-polymerising variant of MIP-1 α , produced by site-directed mutagenesis, which retains wildtype activity in both receptor binding assays and *in vivo* animal models (data not shown).

In 1976, Lord and associates isolated a bone marrow extract which could inhibit haemopoietic stem cells [47], but it was not until 1990 that the active moiety—MIP-1 α —was identified and characterised [23]. Subsequently, a large wealth of knowledge has been accrued on the physiological role of MIP-1 α as a central player in the feedback control mechanisms governing haemopoiesis [48].

The stem cell compartment is recognised to be a heterogeneous population with an age stratification and variable self-renewal. MIP-1 α is most specific for the maturing, older subset of multipotent stem cells with consistent inhibitory effects on CFU-S and the *in vitro* equivalent, CFU-A [49, 50]. Inhibition may not extend to the most primitive pluripotent cells that possess marrow repopulating ability [51, 52]. The increasingly lineage-restricted progenitor cells are also resistant to the inhibitory effects of MIP-1 α [23, 51, 53]. Paradoxically, a number of investigators has reported growth stimulation due to MIP-1 α in the presence of specific growth factors [49, 53–55], but the results have been conflicting and are largely determined by the combination of cytokines present. MIP-1 α does not have colony-stimulating activity *per se*.

The clinical potential of MIP-1 α was highlighted simultaneously by Lord and associates [34] and Dunlop and associates in 1992 [50], using a murine model with hydroxyurea (HU) and cytosine arabinoside, respectively. As discussed earlier, initial dosing with an S-phase killing agent produces a relatively small stem cell kill, but triggers recruitment into DNA synthesis. Following HU treatment, CFU-S are semisynchronised in S-phase approximately 7 h later [56]. Further cytotoxic administration at this time point results in a much greater reduction in CFU-S numbers. The addition of MIP-1 α before the second cytotoxic administration prevents recruitment, with optimal doses producing complete protection of the stem cell compartment [34] and a shortened neutropenic period [50]. Of further significance, the suboptimal dose schedules not only enhanced recovery of CFU-S but appeared to improve self renewal—a finding that has since been substantiated in both animal models and long-term bone marrow culture (LTBMC) [57, 58].

The observation that stem cells, released from a proliferation block, have an enhanced self-renewal performance offers exciting prospects and possible therapeutic advantages beyond the boundaries of S-phase cytotoxics. In clinical practice, multicyclic scheduling is considered the optimum delivery of chemotherapy, and despite advances in transplantation techniques, is likely to remain the major approach to both palliation and cure. The incremental bone marrow damage which follows repeated cytotoxic insults has been explained by an aging of the pluripotent stem population with reduction in their self-renewal capacity, or alternatively impairment of their proliferative ability resulting from stem cell mutations [59–61]. The two are not necessarily

mutually exclusive, and either scenario may benefit from the self-renewing enhancement properties of MIP-1 α .

The ability to modify recovery curves following repeated multicyclic therapy has been confirmed by Lord and associates using a murine model of repeated sublethal radiation [62]. A control group receiving 2-weekly cycles of 4.5 Gy gamma rays sustained incremental damage at the CFU-S level. In a second cohort receiving MIP-1 α , a consistent improvement in CFU-S recovery was seen and was accentuated with each cycle. This advantage occurs despite an apparent lack of any direct radioprotective effect as judged by similar CFU-S nadir numbers. The enhancement of cell numbers is maintained through the hierarchy of stem cells including the most primitive marrow repopulating cells (Dr B.I. Lord, Paterson Institute, Manchester, U.K.).

The cellular mechanism underlying the dual actions of MIP-1 α have yet to be elucidated. The inhibitory effect is recognised to be direct with experimental evidence from single-sorted CD34⁺ cells and highly purified subpopulations [44]. Although many questions remain unanswered, the current experimental evidence provides a compelling argument for the use of MIP-1 α with combination chemotherapy, irrespective of the mode of cytotoxicity.

OTHER INHIBITORS

Numerous unrelated molecules have antiproliferative effects on the haemopoietic system, but these are largely non-specific and indirect. However, there are several agents which have undergone extensive preclinical study and appear to be further candidates for physiological regulators of stem cell proliferation. Two of these, AcSDKP [24] and pEEDCK [25], are oligopeptides with high specificity for haemopoietic tissues, the third, TGF- β [26], is a glycoprotein that is both ubiquitous and pleiotropic in nature.

The pentapeptide (pEEDCK) was first isolated in 1982 [63] as a product of mature leucocytes, and at the present time remains in the preclinical phase. Its cellular specificity is similar to MIP-1 α , but may extend to the more mature progenitors including granulocyte-macrophage, colony-forming cells (GM-CFC) [64]. The peptide increases survival in mice following lethal doses of cytosine [65], and in a more clinically relevant model has been shown to reduce the duration of neutropenia following cytotoxic therapy [66]. The pEEDCK monomer is easily oxidised to a dimer which paradoxically has colony-stimulating activity [66, 67]. Given the ease of monomeric oxidation, one could anticipate considerable difficulty in reproducing biological effects. Combination experiments indicate that the sequential use of monomer and dimer completely abrogates chemotherapy-induced neutropenia [66, 67].

In 1977, Frindel and associates isolated a stem cell inhibitor in fetal calf bone marrow [68]. The inhibitor has subsequently been identified as the tetrapeptide, AcSDKP, and is the first member of this class of agent to enter clinical trial (seraspenide) [69]. AcSDKP is derived from thymosine β -4 [70], and is synthesised endogenously in LTBMCL [71]. Unlike MIP-1 α , the inhibitory effect is thought to be indirect [72]. Experimental models have confirmed protection against S-phase-specific drugs by preventing recruitment of stem cells into cell cycle [73, 74], in addition to survival benefits in cyclophosphamide-treated mice [75], and *in vitro* protection against 3'-azido-3'-deoxythymidine (AZT) [76].

The first phase I/II study with seraspenide was undertaken in 1991 in cancer patients receiving two consecutive cycles of

monochemotherapy (cytosine or ifosfamide) [69]. Tolerance was excellent and a protective effect was demonstrated when comparing both the leucocyte area under the curve (AUC) and the duration and depth of neutropenia. The study highlighted a number of problems with the introduction of these agents—that of trial design and endpoint. Given the excellent tolerance of these agents and isolated effects on a hidden population, phase I dose-finding studies may not be informative. Furthermore, the protective effect as seen in crossover studies may be diluted by the unprotected cycle. A similar ongoing trial in Glasgow, U.K., utilising single-agent doxorubicin, is also underway [77], but is once again hindered by a crossover design which may hide any small but significant benefit that would occur following the ideal of protecting every cycle.

TGF- β has wide-reaching effects which are determined by the target cell and local environment [78]. In addition to haemopoietic stem cell inhibition [26, 79], TGF- β also plays a leading role in cellular differentiation, wound healing and extracellular matrix formation [80]. Although it has been shown to prevent stem cell exhaustion in a cytotoxic model using stem cell factor (SCF) [81], its toxicity and pleiotropic nature are likely to prove major obstacles in clinical development. A significant advantage arises from the extension of its inhibitory effects to other clinically relevant tissues. The ability to reduce oral mucositis [82] will have considerable clinical impact, and may prove far more rewarding than haemopoietic protection given the escalating use of peripheral blood stem cells for transplantation purposes.

EFFECTS ON NON-HAEMOPOIETIC TISSUES

The increasing application of high-dose therapy with growth factors in cancer patients has produced a greater awareness of dose-limiting toxicities beyond the recognition of haemopoietic damage. Other rapidly dividing tissues, particularly oral and gut mucosa, have a similar stem cell structure, and are restrained by corresponding but less well-defined, feedback mechanisms [83]. TGF- β represents one of the most potent inhibitors of epithelial tissues, and experimental models show comparable protection by virtue of cycle inhibition [82]. Although less well documented, MIP-1 α also has regulatory effects on other self-renewing tissues, e.g. epidermis, suggesting a possible role as a pan-stem cell regulator [84, 85]. There are no reports on epithelial tissue regulation by either AcSDKP or pEEDCK, but the former is known to regulate proliferation in adult rat hepatocytes [86].

The application of stem cell inhibitors to the field of oncology is clearly dependent on their specificity for normal host tissues. Extensive experimental evidence is lacking, but preliminary work, utilising both haemopoietic and solid tumour cell lines, suggests this may be the case [66, 75, 87]. This has been most elegantly shown using an *in vitro* model of chronic myeloid leukaemia (CML) grown in LTBMCL [86, 88]. In LTBMCL, an adherent stromal layer can maintain haemopoiesis for at least 12 weeks in the absence of exogenous growth factors [89]. The primitive haemopoietic progenitors located in the adherent layer undergo cyclical changes in proliferation dictated by a weekly replacement of medium ("feed") and the proliferation inhibitory actions of TGF- β , MIP-1 α and AcSDKP [87, 88, 90]. By contrast, CML progenitors cultured in identical conditions remain in a highly proliferative state, due at least in part to their resistance to MIP-1 α [88]. This loss of feedback inhibition may be an intrinsic quality of the malignant phenotype, but it is unlikely to be a universal finding, as exemplified by the growth modulating effects of TGF- β on several tumour cell lines

[78, 88], and the recently reported inhibitory effects of MIP-1 α on progenitors from patients with acute myeloid leukaemia [91]. Clearly caution is required until the precise role of physiological inhibitors in carcinogenesis is delineated further.

CONCLUSION

The current practice of bone marrow stimulation with growth factors exploits the enormous generating potential of the haemopoietic system, and has allowed a modest increase in dose intensity. The major drawback with this approach results from the occult damage to the increasingly sensitised progenitor and stem cell compartments which, at least in theoretical terms, may predispose to stem cell exhaustion. In reality, the intensified approach is associated with significant acute damage to the marrow and other rapidly regenerating tissues, and has considerable impact on the long-term recovery and ability to withstand subsequent chemical or infectious insult. The ability to protect stem cells (haemopoietic and non-haemopoietic) offers an attractive approach for testing the hypothesis for dose intensification without over-stressing the system beyond physiological limits. Furthermore, inhibitors and stimulators are not mutually exclusive, and combination therapy may be the ideal goal for the future.

The major challenge now is the development of appropriate and informative clinical trials which may differ in many respects from the more conventional phase I and II studies evaluating the currently available cytokines. With the exception of TGF- β , a maximum tolerated dose (MTD) may not be definable as the inhibitors have been devoid of toxicity in animal studies. This has been borne out in ongoing clinical trials with AcSDKP [69, 77] and MIP-1 α (data not shown). In addition, the nature of the stem cell negates the use of conventional dose-finding phase I studies because of the lack of any meaningful biological endpoint. Hence, these agents are only evaluable following stem cell recruitment which necessitates combined chemotherapy/inhibitor protocols, preferably carried out in large, multicentre, randomised trials. The alternative strategy of a crossover design has been employed by the French and Scottish groups with scerapenide (AcSDKP) and does allow smaller cohorts of patients to be analysed, but is handicapped by the carry-over effect of protected cycles and the reduction in optimal benefit, gained by protecting all cycles.

Currently available research shows stem cell inhibition to be a realistic mode of protection, thus allowing optimum delivery of chemotherapy in both the conventional and multicyclic high-dose therapy arena. Despite 20 years of basic scientific investigation, the recent recognition of peripheral blood stem cell mobilisation [92] and *in vitro* stem cell expansion [58] with MIP-1 α , highlights the urgency for further study before oncologists can fully exploit the clinical potential of these novel agents.

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